

FIG. 1



# Replacement Sheet

Trading Orders	Attributes					
	Buy/Sell	Stock	Client	Industry	Market	Account
	B	AA	X	Health	NYSE	1.00
	B	BB	X	Drug	NYSE	2.00
	B	CC	Y	Defense	NASDAQ	3.00
	S	AA	Y	Health	NYSE	4.00
	S	BB	Z	Drug	NYSE	5.00
	S	CC	Z	Defense	NASDAQ	6.00

FIG. 2A

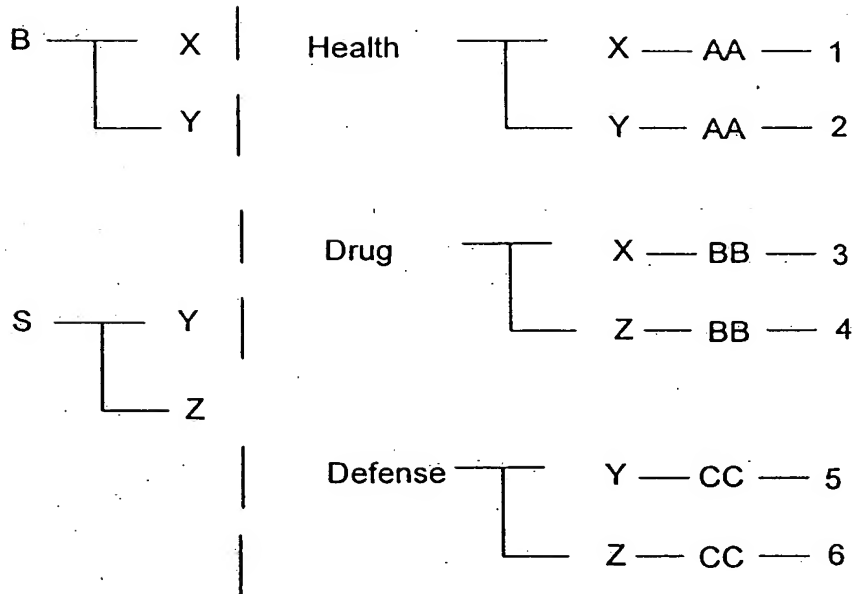


FIG. 2B

FIG. 2C

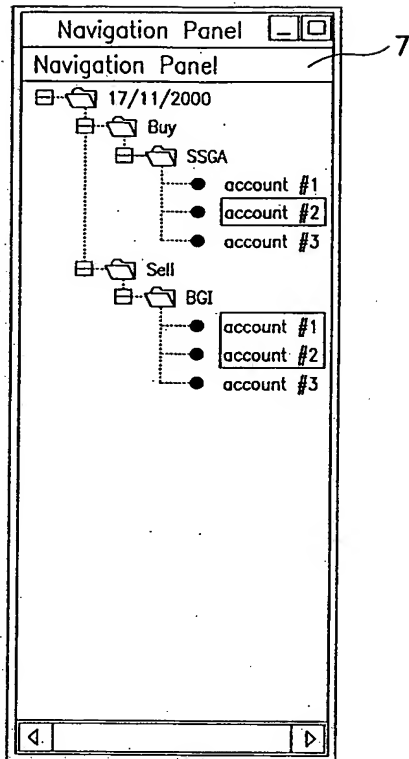


FIG. 3A

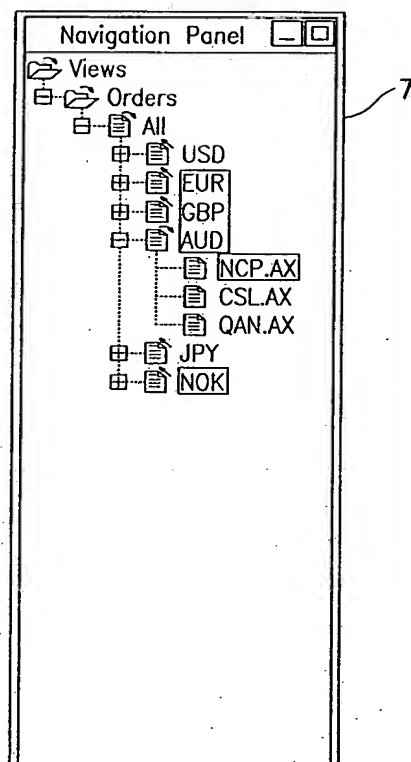


FIG. 3C

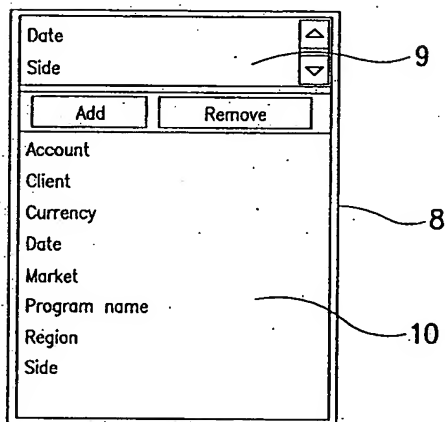


FIG. 3B



# Replacement Sheet

Select Columns

Selected		Available
Side	Up Down	Cost
CCY		Opport
RicCode		Pct_Cmpl
Instinet		Pct_Vol
Description		Pct_Sprd
Strike	<< >>	Pct_Chng
Nominal		Local_Value
Traded		
Price		

Column: Description  
Format: General

OK  
Cancel

FIG. 4

Filter Options

Nominal > 10,000

☐ Exclude Matching Items  
☐ Case sensitive

OK Cancel

FIG. 5

Sorting Order

Sort: Side Z↓

CCY A↓

RicCode Z↓

(none) A↓

(none) A↓

OK  
Cancel

FIG. 6



Replacement Sheet

Newport Client--James Teather @ London Dav connected to Ion's Test Server

File Edit Program Order Tools Window Help

Navigation

Sector

All

Aerospace & Military Techno

BUY

SELL

Appliances & Households

BUY

SELL

Automobiles

BUY

SELL

Banking

BUY

SELL

Beverages & Tobacco

BUY

SELL

Broadcasting & Publishing

BUY

SELL

Building Materials & Compo

BUY

SELL

Business & Public Services

BUY

SELL

Chemicals

BUY

SELL

Construction & Housing

BUY

SELL

Data Processing & Reproduct

BUY

SELL

INLET

Side

Order Qty

Time Raised

Alert Time

Order Group

Inst Type

Currency

Industry Sector

Alert Source

INLET

0

Accept

Reject

Orders

test

128/128

Order ID	Side	Order Qty	INLET	1st RIC	Limit Price	Ask	Bid	Value	Residual
1006954672442	BUY	5,430	CSGN.I	CSGN.VX	347.880	65.200	65.150	354,036.000	5,430.000
1006954674192	BUY	78,150	DBKG.I	DBKGn.DE	79.716	73.290	73.120	5,727,613.500	78,150.000
1006954674537	BUY	78,150	DBKG.I	DBKGn.DE	79.716	73.290	73.120	5,727,613.500	78,150.000
1006954673157	BUY	78,150	DBKG.I	DBKGn.DE	79.716	73.290	73.120	5,727,613.500	78,150.000
1006954672787	BUY	5,430	CSGN.I	CSGN.VX	347.880	65.200	65.050	354,036.000	5,430.000
1006954673721	BUY	46,700	HSBAL	HSBAL	730.080	838.000	837.000	391,346.000	46,700.000
1006954672207	SELL	700	BAC	BAC	0.000	62.130	62.100	43,470.000	700.000
1006954671078	SELL	4,200	FBF	FBF	0.000	37.620	37.610	157,962.000	4,200.000
1006954672332	SELL	1,000	ONE	ONE	0.000	37.490	37.450	37,450.000	1,000.000
1006954671073	SELL	4,400	FBF	FBF	0.000	37.620	37.610	165,484.000	4,400.000
1006954673341	SELL	5,400	AAH.I	AAH.AS	23.080	17.950	17.920	96,768.000	5,400.000
1006954672996	SELL	5,400	AAH.I	AAH.AS	23.080	17.950	17.920	96,768.000	5,400.000

Outbox

33/33

Order ID	Placed With	Side	Order Qty	INLET	Client Notes	Benchmark	Time Raised	Expiry Type	Expiry Time
1006948206136	London Dev	Buy	2,700	FFFL		0.000	13:24:22	DAY	
1006948206108	Buy	Buy	400	CC...		0.000	13:21:34	DAY	
1006954675173	Buy	Buy	32,690	HSB...		0.000	16:56:01	DAY	
1006954675173	Buy	Buy	32,690	HSB...		0.000	16:56:01	DAY	
1006954675183	Buy	Buy	62,400	DBK...		0.000	16:56:01	DAY	

Order 1006954673956 cancelled

EUR

FIG. 7A



Replacement Sheet

Newport Client--James Teather @ London Dev connected to Ian's Test Server

File Edit Program Order Tools Window Help

Navigation

Portfolio, Sector & Currency

ggg

test

xx

(none)

Euro Transition PF

Banking

NOK

SEK

Multi-Industry

DKK

Electrical & Electronics

EUR

SEK

Forest Products & Paper

Energy Sources

Transportation-Shipping

Telecommunications

Insurance

Machinery & Engineering

Building Materials & Com

Health & Personal Care

Merchandising

Business & Public Service

Construction & Housing

Metals-Steel

Beverages & Tobacco

Invalid Instrument

xxxx

SDG

gf

ggg

test

Euro Transition PF

41

INLET

Order Qty

Time Raised

Alert Time

Order Group

Instr Type

Currency

Industry Sector

Alert Source

42

Orders

test

Order ID

Side

Order Qty

INLET

1st RIC

Limit Price

Ask

Bid

Value

Residual

Imp

1006954675866	✓	Buy	6,445	NOKA.I	NOKA.I	0.000	25.680	25.680	165,507.600	6,445.000	
1006954675896	✓		700	LWEB.I	ERICb.ST	0.000	58.000	57.500	40,600.000	700.000	
1006954675931	✓	Sell	3,900	LWEB.I	ERICb.ST	0.000	58.000	57.500	224,250.000	3,900.000	
			11,045			0.000			430,357.600	11,045.000	

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Outbox

Order ID

Parent Orders

Time Raised

Side

Order...

INET

Held

Limit Price

Strike Price

Benchmark

100695467...	1006954675896	18:22:48	0	LWEB.I	✓	0.000	0.000	0.000	0.000	0.000
100695467...	1006954675931	18:22:48	Sell	200	LWEB.I	✓	0.000	0.000	0.000	0.000
			200				0.000	0.000	0.000	0.000

EUR

FIG. 7B



# Replacement Sheet

48

47

Navigation Setup

Existing Create

Navigation Name: Portfolio, Currency and Side

44

Group-Name		Group-Client
Instrument-Curren	^ v	Order-Group ID
Order-Side	To Top	Order-Expiry Ty
	To Btm	Order-Group ID
	>>	Instrument-Type
	>>	Instrument-Inde
		Instrument-Sect
		Instrument-Sect
		Instrument-Sect

45

☒ Detailed trace

46

Create Invalid Instrument Finder

Create

Close

FIG. 7C

FIG. 8

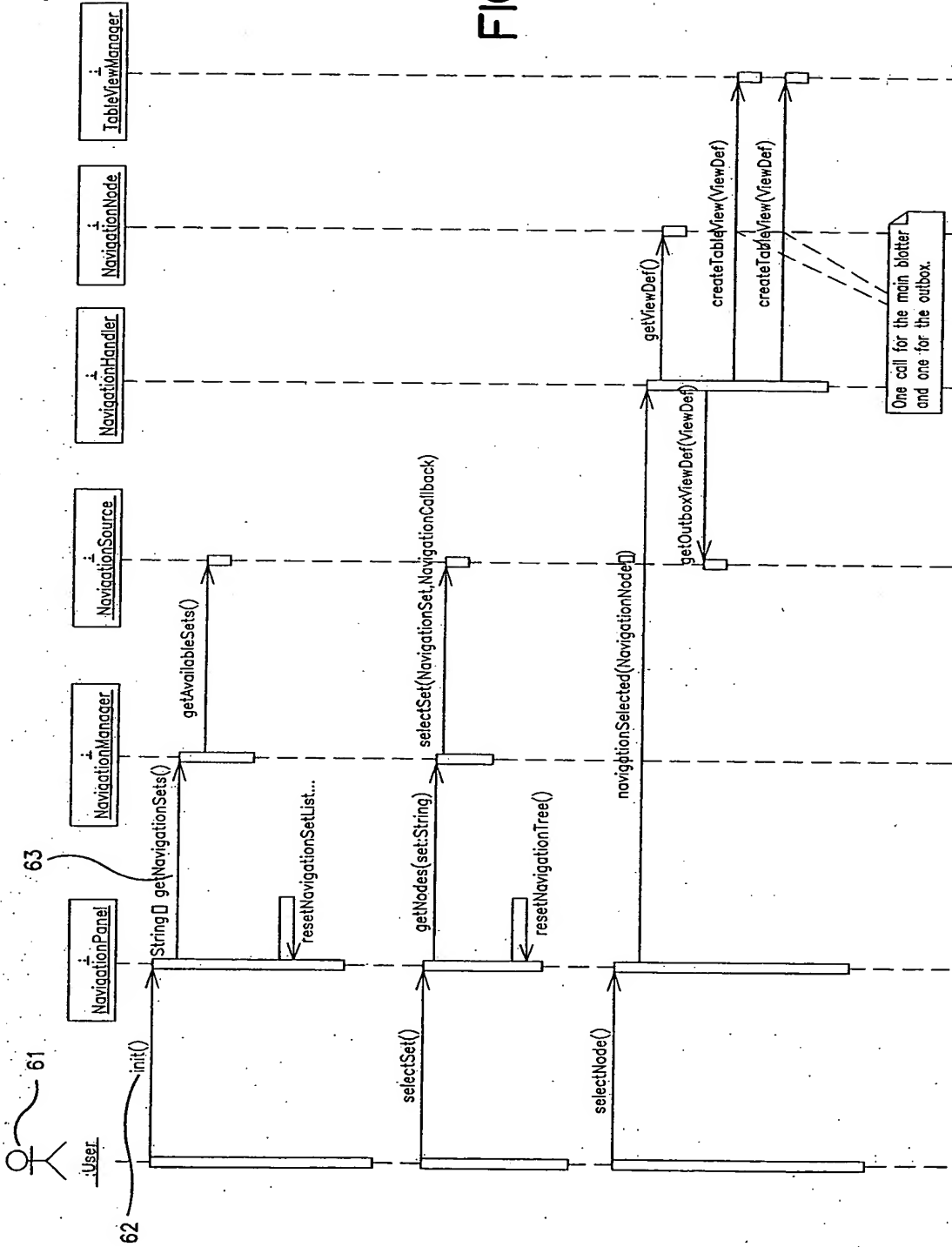
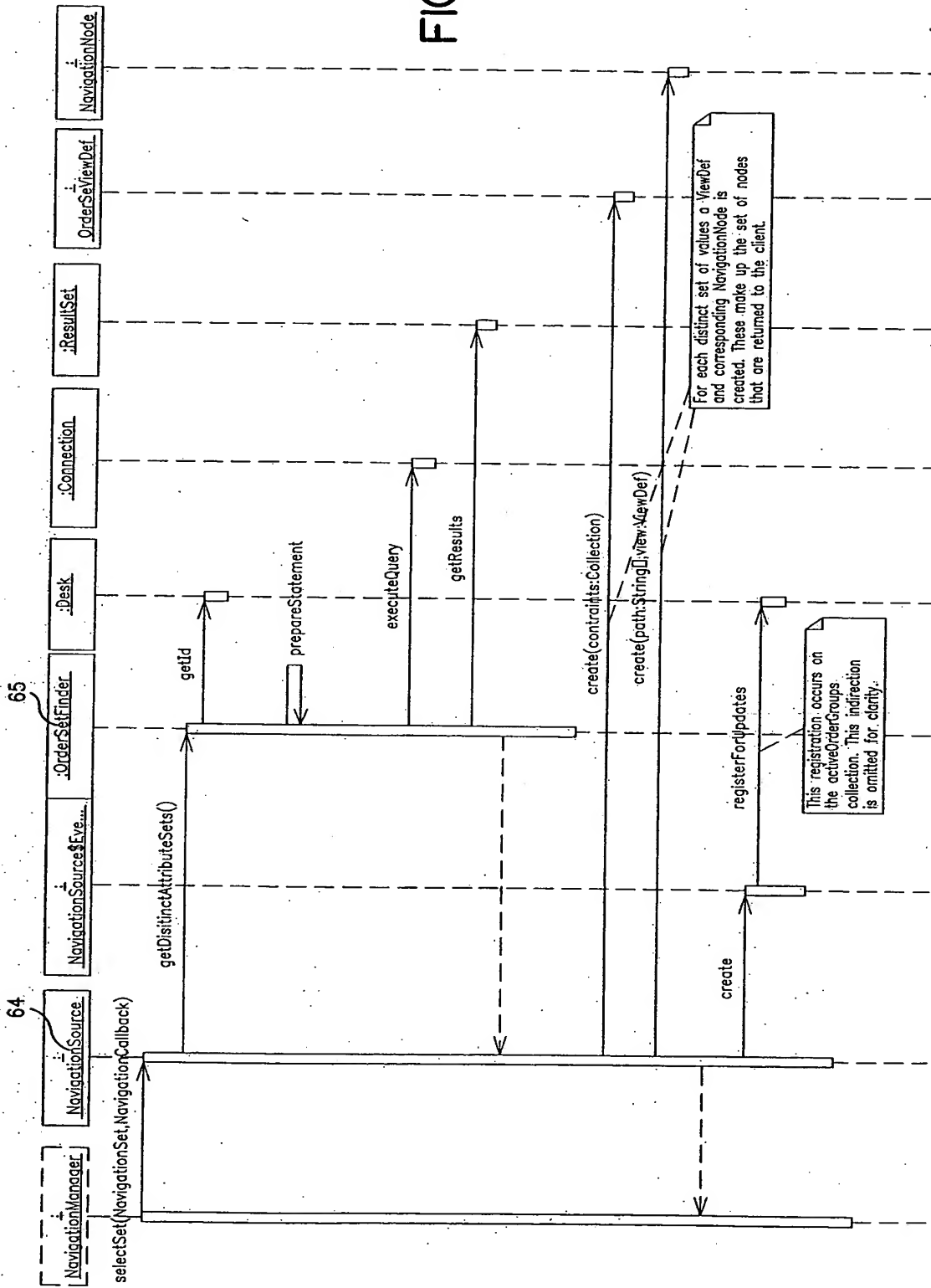




FIG. 9



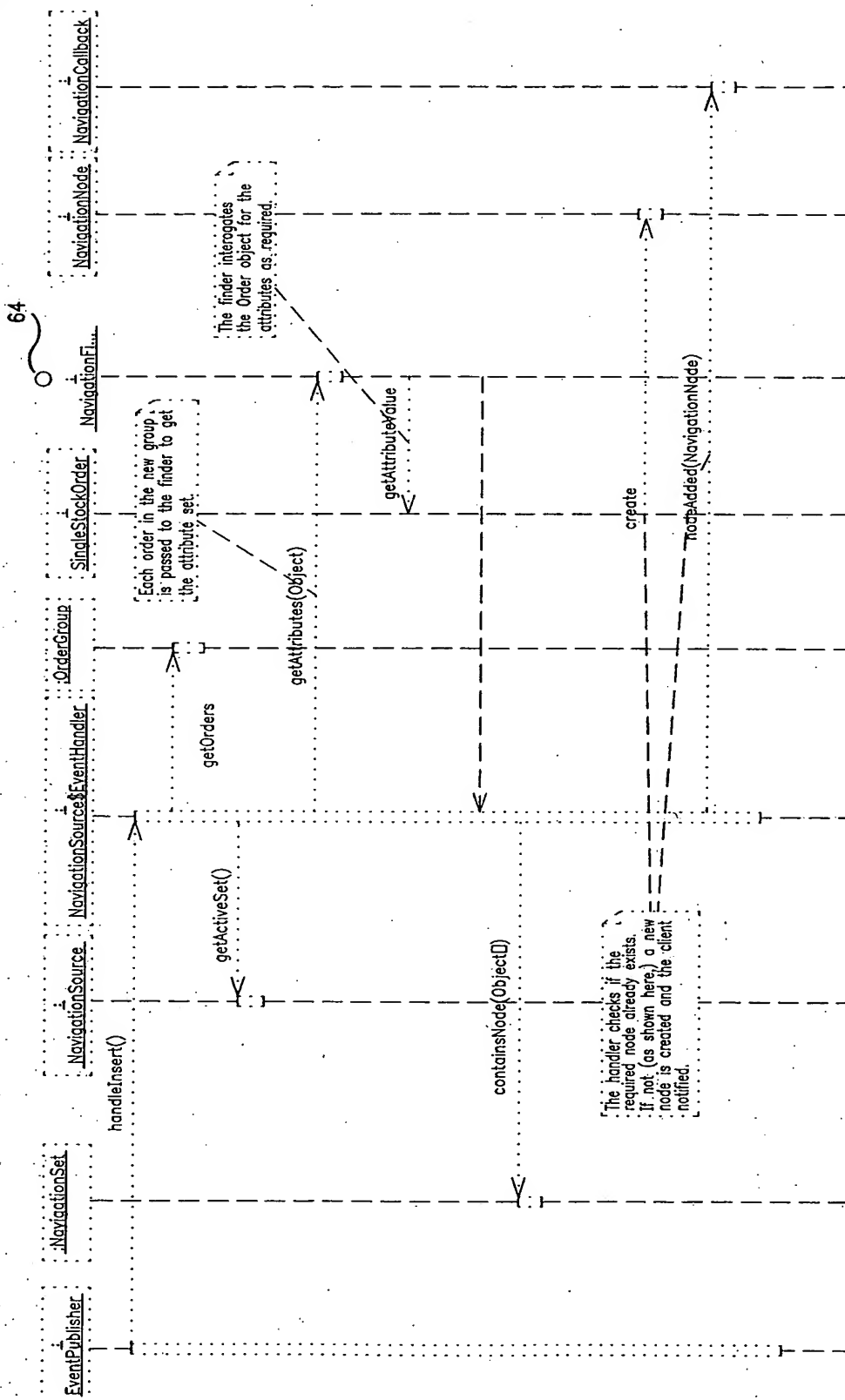


FIG. 10



# Replacement Sheet

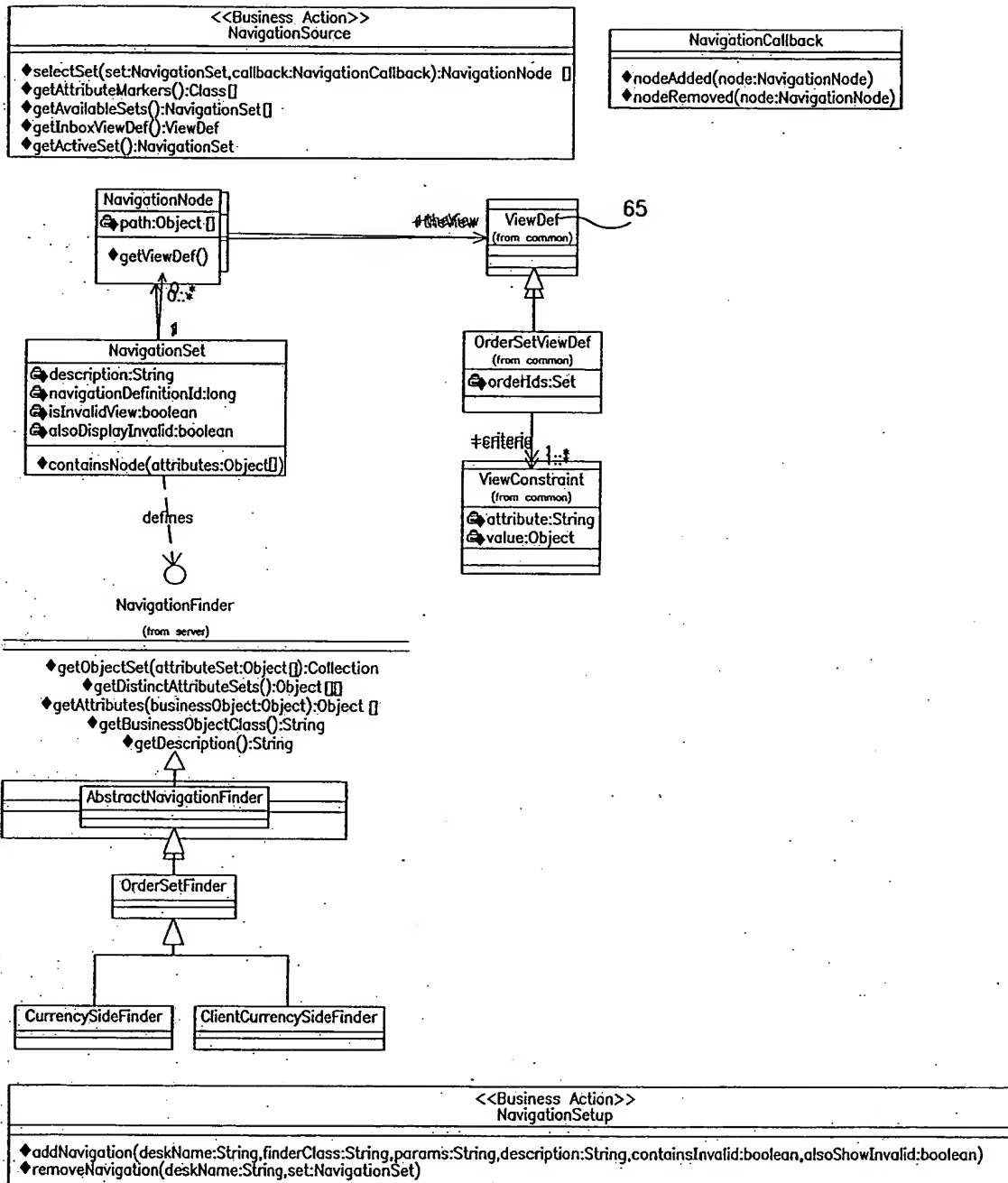


FIG. 11